

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

KINGATE GLOBAL FUND LTD. and  
KINGATE EURO FUND LTD.,

Plaintiffs,

- against -

DEUTSCHE BANK SECURITIES INC.,  
Defendant,

11 Civ. 9364 (DAB)

**STATEMENT PURSUANT TO FED. R. CIV. P. 7.1**

Deutsche Bank Securities Inc. ("DBSI"), by and through its undersigned counsel, submits this statement pursuant to Federal Rule of Civil Procedure 7.1. DBSI is a Delaware corporation and is an indirect, wholly-owned subsidiary of Deutsche Bank AG. No publicly-held corporation owns 10 percent or more of its stock.

Dated: New York, New York  
January 11, 2012

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